

CACoP Principle 12 - Annual Report

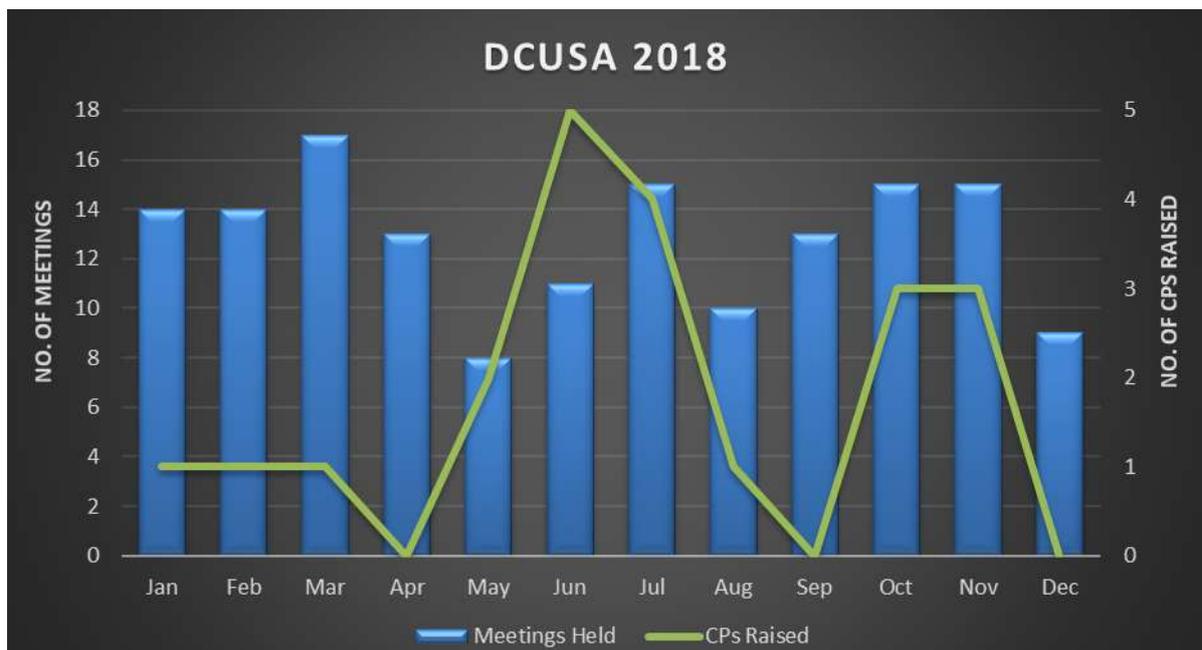
CODE: DISTRIBUTION CONNECTION USE OF SYSTEM AGREEMENT (DCUSA)
REPORTING PERIOD: 1 JANUARY 2018 TO 31 DECEMBER 2018

1. BACKGROUND

- 1.1 The Code Administration Code of Practice (CACoP) was introduced following Ofgem’s Code Governance Review. The purpose of the code is to “facilitate convergence and transparency in code modification processes and to help protect the interests of small market participants and consumers through various means including increased use of plain English in modification reports”.
- 1.2 All energy Code Administrators have committed to operating their Code Administration functions in accordance with the Code of Practice. CACoP Principle 12 requires Code Administrators to assess their efficiency in discharging the roles and responsibilities captured within the principles of the CACoP and the effectiveness of the change management process more generally.
- 1.3 This report sets out the results measured under the Distribution Connection Use of System Agreement (DCUSA) in 2018, against each of the required qualitative and quantitative metrics.

2. DCUSA METRICS

- 2.1 The tables on the pages that follow present qualitative and quantitative metrics and their results relating to the administration of the DCUSA over the period 1 January 2018 to 31 December 2018.
- 2.2 The chart below highlights the number of meetings that the Code Administrator has provided services to alongside the number of Change Proposals (CPs) raised by Parties across 2018.



EFFECTIVE COMMUNICATION	Average number of respondents to consultations	<p>DCUSA consultations are issued to DCUSA Parties and other interested parties in order to gather industry opinion on the development of CPs.</p> <p>In 2018, DCUSA issued 11 consultations with an average number of respondents to a consultation of 9.18.</p>	<table border="1"> <thead> <tr> <th>DCP No.</th> <th>No. of respondents</th> </tr> </thead> <tbody> <tr><td>DCP 266</td><td>13</td></tr> <tr><td>DCP 268</td><td>11</td></tr> <tr><td>DCP 305</td><td>7</td></tr> <tr><td>DCP 306</td><td>8</td></tr> <tr><td>DCP 307</td><td>13</td></tr> <tr><td>DCP 311</td><td>5</td></tr> <tr><td>DCP 312</td><td>8</td></tr> <tr><td>DCP 313</td><td>8</td></tr> <tr><td>DCP 295</td><td>9</td></tr> <tr><td>DCP 314</td><td>9</td></tr> <tr><td>DCP 325</td><td>10</td></tr> <tr><td>Mean</td><td>9.18</td></tr> </tbody> </table>		DCP No.	No. of respondents	DCP 266	13	DCP 268	11	DCP 305	7	DCP 306	8	DCP 307	13	DCP 311	5	DCP 312	8	DCP 313	8	DCP 295	9	DCP 314	9	DCP 325	10	Mean	9.18	
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EFFICIENT ADMINISTRATION	Percentage of papers published outside of the Modification rules requirements.	0%																													
	Number and percentage of reports submitted to the Authority in line with the original timetable	12 CPs were designated as Part 1 Matters and sent to the Authority for final decision. The DCUSA Change Process takes into account the need for timetable extensions by Working Groups and is flexible in that regard. In practice, most Part 1 Matter CPs have timetable extensions.																													
	Number of extensions to timetables requested	<p>Each CP is submitted to the DCUSA Panel for an initial assessment with a fixed timetable for the Working Group to analyse and develop the CP over 6 months. Working Groups are required to agree to a CP progression timetable at their first meeting and this is submitted to the Panel for approval. Where the Working Group fails to meet the Panel's first approved deadline, the Working Group submits a revised timetable requesting for a further extension. The DCUSA Panel reviews each extension request and grants them according to the guidelines set out within the Change Process. In 2018, 26 requests were made for extensions to timetables.</p>	<table border="1"> <thead> <tr> <th>Month</th> <th>Extensions</th> <th>Month</th> <th>Extensions</th> </tr> </thead> <tbody> <tr><td>January</td><td>4</td><td>July</td><td>2</td></tr> <tr><td>February</td><td>4</td><td>August</td><td>2</td></tr> <tr><td>March</td><td>0</td><td>September</td><td>1</td></tr> <tr><td>April</td><td>2</td><td>October</td><td>2</td></tr> <tr><td>May</td><td>2</td><td>November</td><td>2</td></tr> <tr><td>June</td><td>2</td><td>December</td><td>3</td></tr> </tbody> </table>		Month	Extensions	Month	Extensions	January	4	July	2	February	4	August	2	March	0	September	1	April	2	October	2	May	2	November	2	June	2	December
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	Average time between a non-urgent proposal being raised and submitted for decision	The DCUSA CPs range in content and vary from simple drafting changes to complex charging methodology changes. Therefore, the CPs vary in time between the type of change it encompasses. In 2018, if we assume that non-urgent is equivalent to a standard DCUSA CP and submitted for decision refers to Part 1 Matters, then there have been no Part 1 Matter CPs that have been raised and subsequently submitted for decision in 2018.														
	Average time between a proposal being submitted for decision and a decision being published	Following the Party vote which during 2018 was set for a period of 15 business days, a Change Declaration is issued to DCUSA Parties within 2 business days. The CP, along with the Party voting recommendation, is then issued to Ofgem for final decision. Ofgem have an internal Key Performance Indicator (KPI) of issuing a final decision within 25 business days. Once received, the final decision is published. In 2018, Ofgem met their KPI 50% of the time, e.g. only 6 of the 12 decisions on CPs submitted for decision were provided within the 25 business days.														
	Average time between decision and implementation (separately identifying systems and non-system changes)	<p>23 Modifications were implemented in 2018.</p> <p>The DCUSA has three standard releases per year in February, June and November. In addition, there was one Special release on the 01 April and three extraordinary releases on the 11 May, 24 May and 24 July.</p> <p>In order to ensure consistency across the industry the publication dates for the three scheduled releases are kept in line with those published in the other industry codes such as the Balancing and Settlement Code (BSC) and the Master Registration Agreement (MRA). The table below set out the implementation date and which CP/s were implemented in each DCUSA release.</p> <table border="1" data-bbox="900 1114 2123 1377"> <tr> <td>22 February = 2</td> <td>DCP 308; DCP 309</td> </tr> <tr> <td>01 April = 8</td> <td>DCP 161; DCP 222; DCP 228; DCP 234; DCP 273; DCP 290; DCP 293; DCP 310</td> </tr> <tr> <td>11 May = 1</td> <td>DCP 288</td> </tr> <tr> <td>24 May = 1</td> <td>DCP 316</td> </tr> <tr> <td>28 June = 3</td> <td>DCP 315; DCP 294; DCP 305</td> </tr> <tr> <td>24 July = 1</td> <td>DCP 304</td> </tr> <tr> <td>01 November = 7</td> <td>DCP 312; DCP 317; DCP 318; DCP 320; DCP 322; DCP 323; DCP 327</td> </tr> </table>	22 February = 2	DCP 308; DCP 309	01 April = 8	DCP 161; DCP 222; DCP 228; DCP 234; DCP 273; DCP 290; DCP 293; DCP 310	11 May = 1	DCP 288	24 May = 1	DCP 316	28 June = 3	DCP 315; DCP 294; DCP 305	24 July = 1	DCP 304	01 November = 7	DCP 312; DCP 317; DCP 318; DCP 320; DCP 322; DCP 323; DCP 327
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	<p>Number of Fast Track Self-Governance Modifications raised</p>	<p>14 Self-Governance CPs were raised in 2018. DCUSA Self Governance CPs are designated as Part 2 Matters. Part 2 Matters are CPs that do not require a final decision from the Authority. Instead, the CP will be implemented if greater than 65% of all Party Categories that vote, vote to approve the CP.</p> <table border="1" data-bbox="900 343 1563 555"> <tr> <td>DCP 315</td> <td>DCP 322</td> <td>DCP 329</td> </tr> <tr> <td>DCP 316</td> <td>DCP 323</td> <td>DCP 330</td> </tr> <tr> <td>DCP 317</td> <td>DCP 324</td> <td>DCP 331</td> </tr> <tr> <td>DCP 318</td> <td>DCP 325</td> <td>DCP 334</td> </tr> <tr> <td>DCP 320</td> <td>DCP 327</td> <td></td> </tr> </table>	DCP 315	DCP 322	DCP 329	DCP 316	DCP 323	DCP 330	DCP 317	DCP 324	DCP 331	DCP 318	DCP 325	DCP 334	DCP 320	DCP 327	
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<p>IMPLEMENTATION COSTS</p>	<p>Number and percentage of reports for which implementation cost estimates were available for consultation</p>	<p>None of the CPs submitted in 2018 required implementation cost estimates.</p>															
	<p>Accuracy percentage difference (whether higher or lower) between estimated and actual implementation costs</p>	<p>None of the CPs submitted in 2018 required implementation cost estimates.</p>															